

General Assembly

Raised Bill No. 1223

January Session, 2023

LCO No. 5682



Referred to Committee on GOVERNMENT ADMINISTRATION AND ELECTIONS

Introduced by: (GAE)

AN ACT CONCERNING THE STATE CONTRACTING STANDARDS BOARD.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. (NEW) (Effective from passage) (a) Notwithstanding any
- 2 provision of the general statutes, the appropriations recommended for
- 3 the State Contracting Standards Board shall be the estimates of
- 4 expenditure requirements transmitted to the Secretary of the Office of
- 5 Policy and Management by the executive director of the board and the
- 6 recommended adjustments and revisions of such estimates shall be the
- 7 recommended adjustments and revisions, if any, transmitted by said
- 8 executive director to the Office of Policy and Management.
- 9 (b) Notwithstanding any provision of the general statutes, the
- 10 Governor shall not reduce allotment requisitions or allotments in force
- 11 concerning the State Contracting Standards Board.
- 12 Sec. 2. Section 4e-1 of the general statutes is repealed and the
- 13 following is substituted in lieu thereof (*Effective July 1, 2023*):

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- For the purposes of <u>this section and</u> sections [4e-1] <u>4e-2</u> to 4e-47, inclusive, as amended by this act:
- 16 (1) "Best value selection" means a contract selection process in which 17 the award of a contract is based on a combination of quality, timeliness 18 and cost factors;
- 19 (2) "Bid" means an offer, submitted in response to an invitation to bid, 20 to furnish supplies, materials, equipment, construction or contractual 21 services to a state contracting agency under prescribed conditions at a 22 stated price;
- 23 (3) "Bidder" means a business submitting a bid in response to an 24 invitation to bid by a state contracting agency;
- 25 (4) "Business" means any individual or sole proprietorship, 26 partnership, firm, corporation, trust, limited liability company, limited 27 liability partnership, joint stock company, joint venture, association or 28 other legal entity through which business for profit or not-for-profit is 29 conducted;
 - (5) "Competitive bidding" means the submission of prices by a business competing for a contract to provide supplies, materials, equipment or contractual services to a state contracting agency, under a procedure in which the contracting authority does not negotiate prices, as set forth in statutes and regulations concerning procurement;

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42 43 (6) "Consultant" means (A) any architect, professional engineer, landscape architect, land surveyor, accountant, interior designer, environmental professional or construction administrator, who is registered or licensed to practice such person's profession in accordance with the applicable provisions of the general statutes, (B) any planner or any environmental, management or financial specialist, or (C) any person who performs professional work in areas including, but not limited to, educational services, medical services, information technology and real estate appraisal;

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- 44 (7) "Consultant services" means those professional services rendered 45 by a consultant and any incidental services that a consultant and those 46 in the consultant's employ are authorized to perform;
- 47 (8) "Contract" [or "state contract"] means an agreement or a 48 combination or series of agreements between a state contracting agency 49 [or quasi-public agency] and a business for:
- 50 (A) A project for the construction, reconstruction, alteration, 51 remodeling, repair or demolition of any public building, public work, 52 mass transit, rail station, parking garage, rail track or airport;
- 53 (B) Services, including, but not limited to, consultant and professional services;
- 55 (C) The acquisition or disposition of personal property;
- 56 (D) The provision of goods and services, including, but not limited 57 to, the use of purchase of services contracts and personal service 58 agreements;
- 59 (E) The provision of information technology, state agency 60 information system or telecommunication system facilities, equipment 61 or services;
- 62 (F) A lease; or
- 63 (G) A licensing agreement;
- "Contract" [or "state contract"] does not include a contract between a state <u>contracting</u> agency [or a quasi-public agency] and a political subdivision of the state;
- 67 (9) "Term contract" means the agreement reached when the state 68 accepts a bid or proposal to furnish supplies, materials, equipment or 69 contractual services at a stated price for a specific period of time in 70 response to an invitation to bid;
- 71 (10) "Contract risk assessment" means (A) the identification and

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evaluation of loss exposures and risks, including, but not limited to, business and legal risks associated with the contracting process and the contracted goods and services, and (B) the identification, evaluation and implementation of measures available to minimize potential loss exposures and risks;

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- (11) "Contractor" means any business that is awarded, or is a subcontractor under, a contract or an amendment to a contract with a state contracting agency under statutes and regulations concerning procurement, including, but not limited to, a small contractor, minority business enterprise, an individual with a disability, as defined in section 4a-60, or an organization providing products and services by persons with disabilities:
- (12) "Contractual services" means the furnishing of labor by a contractor, not involving the delivery of a specific end product other than reports, which are merely incidental to the required performance and includes any and all laundry and cleaning service, pest control service, janitorial service, security service, the rental and repair, or maintenance, of equipment, machinery and other [state-owned] personal property owned by a state contracting agency, advertising and photostating, mimeographing, human services and other service arrangements where the services are provided by persons other than state employees or quasi-public agency employees. "Contractual services" includes the design, development and implementation of technology, communications or telecommunications systems or the infrastructure pertaining thereto, including hardware and software and services for which a contractor is conferred a benefit by the state, whether or not compensated by the state. "Contractual services" does not include employment agreements or collective bargaining agreements;
- 101 (13) "Data" means recorded information, regardless of form or 102 characteristic;
- 103 (14) "Vote of two-thirds of the members of the board present and

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- 104 voting" means a vote by the State Contracting Standards Board that is
- agreed upon by two-thirds of the members of the State Contracting
- 106 Standards Board present and voting for a particular purpose and that
- includes the vote of one member of the board appointed by a legislative
- 108 leader;
- 109 (15) "Electronic" means electrical, digital, magnetic, optical, 110 electromagnetic, or any other similar technology;
- 111 (16) "Emergency procurement" means procurement by a state
- 112 contracting agency, [quasi-public agency, as defined in section 1-120,]
- judicial department or constituent unit of higher education that is made
- 114 necessary by a sudden, unexpected occurrence that poses a clear and
- imminent danger to public safety or requires immediate action to
- prevent or mitigate the loss or impairment of life, health, property or
- 117 essential public services or in response to a court order, settlement
- agreement or other similar legal judgment;
- 119 (17) "Equipment" means personal property of a durable nature that
- retains its identity throughout its useful life;
- 121 (18) "Materials" means items required to perform a function or used
- in a manufacturing process, particularly those incorporated into an end
- 123 product or consumed in its manufacture;
- 124 (19) "Nonprofit agency" means any organization that is not a for-
- profit business under Section 501(c)(3) of the Internal Revenue Code of
- 126 1986, or any subsequent corresponding internal revenue code of the
- 127 United States, as amended from time to time, [amended,] makes no
- distribution to its members, directors or officers and provides services
- 129 contracted for by (A) the state or a quasi-public agency, or (B) a nonstate
- 130 entity;
- 131 (20) "Professional services" means any type of service to the public
- that requires that members of a profession rendering such service obtain
- a license or other legal authorization as a condition precedent to the
- rendition thereof, including, but not limited to, the professional services

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- of architects, professional engineers, or jointly by architects and
- 136 professional engineers, landscape architects, certified public
- accountants and public accountants, land surveyors, attorneys-at-law,
- 138 psychologists, licensed marital and family therapists, licensed
- professional counselors and licensed clinical social workers as well as
- such other professional services described in section 33-182a;
- 141 (21) "Privatization contract" means an agreement or series of
- agreements between a state contracting agency and a person or entity in
- 143 which such person or entity agrees to provide services that are
- substantially similar to and in lieu of services provided, in whole or in
- part, by state employees or quasi-public agency employees, other than
- contracts with a nonprofit agency, which are in effect as of January 1,
- 147 2009, and which through a renewal, modification, extension or
- rebidding of contracts continue to be provided by a nonprofit agency;
- 149 (22) "Procurement" means contracting for, buying, purchasing,
- 150 renting, leasing or otherwise acquiring or disposing of, any supplies,
- services, including but not limited to, contracts for purchase of services
- 152 and personal service agreements, interest in real property, or
- 153 construction, and includes all government functions that relate to such
- 154 activities, including best value selection and qualification based
- 155 selection;
- 156 (23) "Proposer" means a business submitting a proposal to a state
- 157 contracting agency in response to a request for proposals or other
- 158 competitive sealed proposal;
- 159 (24) "Public record" means a public record, as defined in section 1-
- 160 200;
- 161 (25) "Qualification based selection" means a contract selection process
- in which the award of a contract is primarily based on an assessment of
- 163 contractor qualifications and on the negotiation of a fair and reasonable
- 164 price;
- 165 (26) "Regulation" means regulation, as defined in section 4-166;

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166 (27) "Request for proposals" means all documents, whether attached 167 or incorporated by reference, utilized for soliciting proposals;

- (28) "State contracting agency" means any executive branch agency, board, commission, department, office, institution, [or] council or quasipublic agency. "State contracting agency" does not include the judicial branch, the legislative branch, the offices of the Secretary of the State, the State Comptroller, the Attorney General, the State Treasurer, with respect to their constitutional functions, any state agency with respect to contracts specific to the constitutional and statutory functions of the office of the State Treasurer. For the purposes of section 4e-16, as amended by this act, "state contracting agency" includes any constituent unit of the state system of higher education and [for the purposes of section 4e-19, "state contracting agency" includes the State Education Resource Center, established under section 10-4q] The University of Connecticut Health Center Finance Corporation;
- 181 (29) "Subcontractor" means a subcontractor of a contractor for work 182 under a contract or an amendment to a contract;
 - (30) "Supplies" means any and all articles of personal property, including, but not limited to, equipment, materials, printing, insurance and leases of real property, excluding land or a permanent interest in land furnished to or used by any state agency;
 - (31) "Infrastructure facility" means a building, structure or network of buildings, structures, pipes, controls and equipment that provide transportation, utilities, public education or public safety services. [Infrastructure facility] "Infrastructure facility" includes government office buildings, public schools, jails, water treatment plants, distribution systems and pumping stations, wastewater treatment plants, collections systems and pumping stations, solid waste disposal plants, incinerators, landfills, and related facilities, public roads and streets, highways, public parking facilities, public transportation systems, terminals and rolling stock, rail, air and water port structures, terminals and equipment; [and]

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198 (32) "State employee" means state employee, as defined in section 5-199 154 and, for purposes of section 4e-16, as amended by this act, [state 200 employee] <u>"state employee"</u> includes an employee of any state 201 contracting agency that is not a quasi-public agency; and

- 202 (33) "Quasi-public agency" has the same meaning as provided in section 1-120.
- Sec. 3. Subsections (g) and (h) of section 4e-2 of the general statutes are repealed and the following is substituted in lieu thereof (*Effective July* 1, 2023):
- (g) The board shall appoint a Chief Procurement Officer for a term not to exceed six years, unless reappointed pursuant to the provisions of this subsection. The Chief Procurement Officer shall report to the board and annually be evaluated by, and serve at the pleasure of, the board. For administrative purposes only, the Chief Procurement Officer shall be supervised by the executive director.

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- (1) The Chief Procurement Officer shall be responsible for carrying out the policies of the board relating to procurement including, but not limited to, oversight, investigation, auditing, agency procurement certification and procurement and project management training and enforcement of [said] <u>such</u> policies as well as the application of such policies to the screening and evaluation of current and prospective contractors. The Chief Procurement Officer may enter into such contractual agreements as may be necessary for the discharge of the duties as set forth in this subsection and by the board, including, but not limited to, recommending best practices and providing operational and administrative assistance to state agencies determined, by the board, to be in violation of sections 4e-16 to 4e-47, inclusive, as amended by this act.
- (2) In addition to the duties set forth by the board, the Chief Procurement Officer shall (A) oversee state contracting agency compliance with the provisions of statutes and regulations concerning procurement; (B) monitor and assess the performance of the

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- 230 procurement duties of each agency procurement officer; (C) administer
- 231 the certification system and monitor the level of agency compliance with
- the requirements of statutes and regulations concerning procurement,
- 233 including, but not limited to, the education and training, performance
- 234 and qualifications of agency procurement officers; (D) review and
- 235 monitor the procurement processes of each state contracting agency [,
- 236 quasi-public agencies] and institutions of higher education; and (E)
- 237 serve as chairperson of the Contracting Standards Advisory Council
- and an ex-officio member of the Vendor and Citizen Advisory Panel.
- (h) The board may contract with consultants and professionals on a
- temporary or project by project basis and [may] shall employ, subject to
- 241 the provisions of chapter 67, [such] not less than five full-time
- 242 employees and may employ such additional employees as may be
- 243 necessary to carry out the provisions of this section.
- Sec. 4. Subdivision (2) of subsection (a) of section 4e-3 of the general
- statutes is repealed and the following is substituted in lieu thereof
- 246 (*Effective July 1, 2023*):
- 247 (2) Any state contracting agency's contracting and procurement
- 248 processes, including, but not limited to, leasing and property transfers,
- 249 purchasing or leasing of supplies, materials or equipment, consultant or
- 250 consultant services, purchase of service agreements or privatization
- 251 contracts; and
- Sec. 5. Section 4e-4 of the general statutes is repealed and the
- 253 following is substituted in lieu thereof (*Effective July 1, 2023*):
- Except as otherwise provided in the general statutes, the board shall
- 255 have the following authority and responsibilities with respect to
- 256 procurements by state contracting agencies:
- [(a)] (1) Recommend the repeal of repetitive, conflicting or obsolete
- 258 statutes concerning [state] procurement;
- [(b)] (2) Review and make recommendations concerning proposed

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- 260 legislation and regulations concerning procurement, management,
- 261 control, and disposal of any and all supplies, services, and construction
- to be procured by [the] state contracting agencies, including, but not
- 263 limited to:
- [(1)] (A) Conditions and procedures for delegation of procurement
- 265 authority;
- [(2)] (B) Prequalification, suspension, debarment and reinstatement
- 267 of prospective bidders and contractors;
- 268 [(3)] (C) Small purchase procedures;
- [(4)] (D) Conditions and procedures for the procurement of
- 270 perishables and items for resale;
- [(5)] (E) Conditions and procedures for the use of source selection
- 272 methods authorized by statutes and regulations concerning
- 273 procurement;
- [(6)] (F) Conditions and procedures for the use of emergency
- 275 procurements;
- [(7)] (G) Conditions and procedures for the selection of contractors by
- 277 processes or methods that restrict full and open competition;
- [(8)] (H) The opening or rejection of bids and offers, and waiver of
- 279 errors in bids and offers:
- [(9)] (I) Confidentiality of technical data and trade secrets submitted
- 281 by actual or prospective bidders;
- [(10)] (I) Partial, progressive and multiple awards;
- 283 [(11)] (K) Supervision of storerooms and inventories, including
- 284 determination of appropriate stock levels and the management,
- transfer, sale or other disposal of publicly-owned supplies;
- 286 [(12)] (L) Definitions and classes of contractual services and

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- 287 procedures for acquiring such services;
- [(13)] (M) Regulations providing for conducting cost and price analysis;
- 290 [(14)] (N) Use of payment and performance bonds;
- [(15)] (O) Guidelines for use of cost principles in negotiations, adjustments and settlements; and
- [(16)] (P) Identification of procurement best practices;
- [(c)] (3) Adopt regulations, pursuant to chapter 54, to carry out the provisions of statutes concerning procurement, in order to facilitate consistent application of the law and require the implementation of procurement best practices;
- [(d)] (4) Make recommendations with regard to information systems for [state] procurement including, but not limited to, data element and design and the State Contracting Portal;
- [(e)] (5) Develop a guide to state statutes and regulations concerning procurement, for use by all state contracting agencies;
- [(f)] (6) Assist state contracting agencies in complying with the statutes and regulations concerning procurement by providing guidance, models, advice and practical assistance to state contracting agency staff relating to: [(1)] (A) Buying the best service at the best price, [(2)] (B) properly selecting contractors, and [(3)] (C) drafting contracts that achieve state goals of accountability, transparency and results based outcomes and to protect taxpayers' interest;
- [(g)] (7) Train and oversee the agency procurement officer of each state contracting agency and any contracting officers thereunder;
- [(h)] (8) Review and certify, on or after January 1, 2009, that a state contracting agency's procurement processes are in compliance with statutes and regulations concerning procurement by:

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[(1)] (A) Establishing procurement and project management education and training criteria and certification procedures for agency procurement officers and contracting officers. All agency procurement officers and contracting officers designated under this [provision] subparagraph shall be required to maintain the certification in good standing at all times while performing procurement functions;

[(2)] (B) Approving an ethics training course, in consultation with the Office of State Ethics, including, but not limited to, state employees and quasi-public agency employees involved in procurement and for state contractors and substantial subcontractors who are prequalified pursuant to chapter 58a. Such ethics training course may be developed and provided by the Office of State Ethics or by any person, firm or corporation provided such course is approved by the State Contracting Standards Board;

[(i)] (9) Recertify each state contracting agency's procurement processes, triennially, and provide agencies with notice of any certification deficiency and exercise those powers authorized by section 4e-34, as amended by this act, 4e-39 or 4e-40, as amended by this act, as applicable, if a determination of noncompliance is made;

[(j)] (10) Define the contract data reporting requirements to the board for state contracting agencies concerning information on: [(1)] (A) The number and type of [state] contracts of each state contracting agency currently in effect state-wide; [(2)] (B) the term and dollar value of such contracts; [(3)] (C) a list of client agencies; [(4)] (D) a description of services purchased under such contracts; [(5)] (E) contractor names; [(6)] (F) an evaluation of contractor performance, including, but not limited to records pertaining to the suspension or disqualification of contractors, and assuring such information is available on the State Contracting Portal; and [(7)] (G) a list of contracts and contractors awarded without full and open competition stating the reasons [for] therefor and identifying the approving authority; and

[(k)] (11) Provide the Governor and the joint standing committee of

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the General Assembly having cognizance of matters relating to government administration with recommendations concerning the statutes and regulations concerning procurement.

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- Sec. 6. Subsections (a) to (c), inclusive, of section 4e-5 of the general statutes are repealed and the following is substituted in lieu thereof (*Effective July 1*, 2023):
- (a) (1) The head of each state contracting agency shall appoint an agency procurement officer. Such officer shall serve as the liaison between the agency and the Chief Procurement Officer on all matters relating to the agency's procurement activity, including, but not limited to, implementation and compliance with the provisions of statutes and regulations concerning procurement and any policies or regulations adopted by the board, coordination of the training and education of agency procurement employees and any person serving on the Contracting Standards Advisory Council;
- (2) The agency procurement officer shall be responsible for (A) ensuring that any invitation to bid, request for proposals or any other solicitation for goods and services issued on or after July 1, 2023, contains a notice of the rights of prospective bidders, proposers or prospective contractors under sections 4e-36, 4e-39 and 4e-40, as amended by this act, (B) assuring that contractors are properly screened prior to the award of a contract, (C) ensuring contractors are advised of their rights under sections 4e-36, 4e-39 and 4e-40, as amended by this act, prior to entering into a contract on or after July 1, 2023, (D) ensuring that, upon the award of such a contract, that unsuccessful bidders, proposers or respondents are advised of their rights under sections 4e-36, 4e-39 and 4e-40, as amended by this act, (E) evaluating contractor performance during and at the conclusion of a contract, (F) submitting written evaluations to a central data repository to be designated by the board, and (G) creating a project management plan for the agency with annual reports to the board pertaining to procurement projects within the agency.

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(b) The State Contracting Standards Board, with the advice and assistance of the Commissioner of Administrative Services, shall develop a standardized state procurement and project management education and training program. Such education and training program shall develop education, training and professional development opportunities for employees of state contracting agencies charged with procurement responsibilities. The education and training program shall educate such employees in general business acumen and on proper purchasing procedures as established in statutes and regulations concerning procurement with an emphasis on ethics, fairness, consistency and project management. Participation in the education and training program shall be required of any supervisory and nonsupervisory [state] employees in state contracting agencies with responsibility for buying, purchasing, renting, leasing or otherwise acquiring any supplies, service or construction, including the preparation of the description of requirements, selection and solicitation of sources, preparation and award of contracts and all phases of contract administration.

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(c) The state procurement and project management education and training program shall include, but shall not be limited to (1) training and education concerning federal, state and municipal procurement processes, including the statutes and regulations concerning procurement; (2) training and education courses developed in cooperation with the Office of State Ethics, the Freedom of Information Commission, the State Elections Enforcement Commission, the Commission on Human Rights and Opportunities, the office of the Attorney General and any other state agency the board determines is necessary in carrying out statutes and regulations concerning procurement; (3) providing technical assistance to state contracting agencies and municipalities for implementing statutes and regulations concerning procurement, regulations, policies and standards developed by the board; (4) training to current and prospective contractors and vendors and others seeking to do business with [the] state contracting agencies; and (5) training and education of state employees and quasi-

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public agency employees in the area of best procurement practices in [state] purchasing with the goal of achieving the level of acumen necessary to achieve the objectives of statutes and regulations concerning procurement.

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- Sec. 7. Subsection (a) of section 4e-7 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2023*):
- (a) For cause, the State Contracting Standards Board may review, terminate or recommend to a state contracting agency the termination of any contract or procurement agreement undertaken by any state contracting agency after providing fifteen days' notice to the state contracting agency and the applicable contractor, and consulting with the Attorney General. Such termination of a contract or procurement agreement by the board may occur only after (1) the board has consulted with the state contracting agency to determine the impact of an immediate termination of the contract, (2) a determination has been made jointly by the board and the state contracting agency that an immediate termination of the contract will not create imminent peril to the public health, safety or welfare, (3) a vote of two-thirds of the members of the board present and voting for that purpose, and (4) the board has provided the state contracting agency and the contractor with opportunity for a hearing conducted pursuant to the provisions of chapter 54. Such action shall be accompanied by notice to the state contracting agency and any other affected party. For the purpose of this section, "for cause" means: (A) A violation of section 1-84 or 1-86e, as determined by the Citizen's Ethics Advisory Board; (B) wanton or reckless disregard of any state or quasi-public agency contracting and procurement process by any person substantially involved in such contract or state contracting agency; or (C) notification from the Attorney General to the state contracting agency that an investigation pursuant to section 4-61dd has concluded that the process by which such contract was awarded was compromised by fraud, collusion or any other criminal violation. Nothing in this section shall be construed to limit the authority of the board as described in section 4e-6.

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Sec. 8. Section 4e-8 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2023*):

- 448 There is established a Contracting Standards Advisory Council, 449 which shall consist of representatives from the Office of Policy and 450 of Management, Departments Administrative Services 451 Transportation, [and] representatives of at least three additional state 452 contracting agencies, including at least one human services related state 453 agency, to be designated by the Governor and at least four additional 454 state contracting agencies that are quasi-public agencies, two to be 455 appointed by the speaker of the House of Representatives and two to be 456 appointed by the president pro tempore of the Senate. The Chief 457 Procurement Officer shall be a member of the council and serve as 458 chairperson. The advisory council shall meet at least four times per year 459 to discuss [state] procurement issues and to make recommendations for 460 improvement of the procurement processes to the State Contracting 461 Standards Board. The advisory council may conduct studies, research 462 and analyses and make reports and recommendations with respect to 463 subjects or matters within the jurisdiction of the State Contracting 464 Standards Board.
- Sec. 9. Subsection (a) of section 4e-10 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1*, 2023):

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- (a) On or before July 1, 2010, the board shall submit to the Governor and the General Assembly such legislation as is necessary to permit state contracting agencies, not including [quasi-publics] quasi-public agencies, institutions of higher education, and municipal procurement processes utilizing state funds, to carry out their functions under statutes and regulations concerning procurement.
- Sec. 10. Section 4e-14 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2023*):
- On and after June 1, 2010, all [state] contracts of each state contracting agency that is not a quasi-public agency that take effect on or after June

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1, 2010, shall contain provisions to ensure accountability, transparency and [results based] results-based outcomes, as prescribed by the State Contracting Standards Board. On and after June 1, 2010, all state contracts of the legislative branch and the judicial branch that take effect on or after June 1, 2010, shall contain provisions to ensure accountability, transparency and [results based] results-based outcomes. On and after July 1, 2023, all contracts of each state contracting agency that is a quasi-public agency shall contain provisions to ensure accountability, transparency and results-based outcomes.

Sec. 11. Subsections (c) and (d) of section 4e-16 of the general statutes are repealed and the following is substituted in lieu thereof (*Effective July* 1, 2023):

- (c) (1) If such cost-benefit analysis identifies a cost savings to the state contracting agency of ten per cent or more, and such privatization contract will not diminish the quality of such service, the state contracting agency shall develop a business case, in accordance with the provisions of subsection (d) of this section, in order to evaluate the feasibility of entering into any such contract and to identify the potential results, effectiveness and efficiency of such contract.
- (2) If such cost-benefit analysis identifies a cost savings of less than ten per cent to the state <u>contracting agency</u> and such privatization contract will not diminish the quality of such service, the state contracting agency may develop a business case, in accordance with the provisions of subsection (d) of this section, in order to evaluate the feasibility of entering into any such contract and to identify the potential results, effectiveness and efficiency of such contract, provided there is a significant public policy reason to enter into such privatization contract. Any such business case shall be approved in accordance with the provisions of subdivision (4) of subsection (h) of this section.
- (3) If any such proposed privatization contract would result in the layoff, transfer or reassignment of one hundred or more state <u>contracting</u> agency employees, after consulting with the potentially

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affected bargaining units, if any, the state contracting agency shall notify the state employees or quasi-public agency employees of such bargaining unit, as applicable, after such cost-benefit analysis is completed. Such state contracting agency shall provide an opportunity for [said] such employees to reduce the costs of conducting the operations to be privatized and provide reasonable resources for the purpose of encouraging and assisting such [state] employees to organize and submit a bid to provide the services that are the subject of the potential privatization contract. The state contracting agency shall retain sole discretion in determining whether to proceed with the privatization contract, provided the business case for such contract is approved by the board.

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(d) Any business case developed by a state contracting agency for the purpose of complying with subsection (c) of this section shall include: (1) The cost-benefit analysis as described in subsection (b) of this section, (2) a detailed description of the service or activity that is the subject of such business case, (3) a description and analysis of the state contracting agency's current performance of such service or activity, (4) the goals to be achieved through the proposed privatization contract and the rationale for such goals, (5) a description of available options for achieving such goals, (6) an analysis of the advantages and disadvantages of each option, including, at a minimum, potential performance improvements and risks attendant to termination of the contract or rescission of such contract, (7) an analysis of the potential impact of the proposed privatization contract on workers of color and workers who are women, including whether such privatization contract will lessen or increase historical patterns that produce inequities between such workers and other workers, (8) a description of the current market for the services or activities that are the subject of such business case, [(8)] (9) an analysis of the quality of services as gauged by standardized measures and key performance requirements including compensation, turnover, and staffing ratios, [(9)] (10) a description of the specific results-based performance standards that shall, at a minimum be met, to ensure adequate performance by any party

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performing such service or activity, [(10)] (11) the projected time frame for key events from the beginning of the procurement process through the expiration of a contract, if applicable, [(11)] (12) a specific and feasible contingency plan that addresses contractor nonperformance and a description of the tasks involved in and costs required for implementation of such plan, and [(12)] (13) a transition plan, if appropriate, for addressing changes in the number of agency personnel, affected business processes, employee transition issues, and communications with affected stakeholders, such as agency clients and members of the public, if applicable. Such transition plan shall contain a reemployment and retraining assistance plan for employees who are not retained by the state or a quasi-public agency or employed by the contractor. If the primary purpose of the proposed privatization contract is to provide a core governmental function, such business case shall also include information sufficient to rebut the presumption that such core governmental function should not be privatized. Such presumption shall not be construed to prohibit a state contracting agency from contracting for specialized technical expertise not available within such agency, provided such agency shall retain responsibility for such core governmental function. For the purposes of this section, "core governmental function" means a function for which the primary purpose is (A) the inspection for adherence to health and safety standards because public health or safety may be jeopardized if such inspection is not done or is not done in a timely or proper manner, (B) the establishment of statutory, regulatory or contractual standards to which a regulated person, entity or state contractor shall be held, (C) the enforcement of statutory, regulatory or contractual requirements governing public health or safety, [or] (D) criminal or civil law enforcement, or (E) the provision of essential human services to residents of the state who would otherwise lack the support necessary to assure basic human needs. If any part of such business case is based upon evidence that the state contracting agency is not sufficiently staffed to provide the core governmental function required by the privatization contract, the state contracting agency shall also include within such business case a plan for remediation of the understaffing to

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allow such services to be provided directly by the state contracting agency in the future.

Sec. 12. Subdivisions (2) to (4), inclusive, of subsection (l) of section 4e-16 of the general statutes are repealed and the following is substituted in lieu thereof (*Effective July 1, 2023*):

(2) If such cost-benefit analysis identifies a ten per cent or more cost savings to the state contracting agency from the use of such privatization contract and such contract does not diminish the quality of the service provided, such state contracting agency shall develop a business case for the renewal of such privatization contract in accordance with the provisions of subsections (d) and (e) of this section. The board shall review such contract in accordance with the provisions of subsections (f) to (h), inclusive, of this section and may approve such renewal by the applicable vote of the board, provided any such renewal that is estimated to cost in excess of one hundred fifty million dollars annually or six hundred million dollars or more over the life of the contract shall also be approved by the General Assembly prior to the state contracting agency renewing such contract. If such renewal is approved by the board and the General Assembly, if applicable, the provisions of subsection (j) of this section shall apply to any proposed amendment to such contract.

(3) If such cost-benefit analysis identifies a cost savings to the state contracting agency of less than ten per cent, such state contracting agency shall prepare a plan to have such service provided by state employees or, in the case of a state contracting agency that is a quasi-public agency, the employees of such quasi-public agency, [and] shall begin to implement such plan, provided: (A) While such plan is prepared, but prior to implementation of such plan, such state contracting agency may develop a business case for such privatization contract, in accordance with the provisions of subsection (d) of this section, that achieves a cost savings to the state of ten per cent or more. Any such business case shall be reviewed by the board in accordance with the provisions of subsections (f) to (h), inclusive, of this section, and

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may be approved by the applicable vote of the board; (B) such privatization contract shall not be renewed with the vendor currently providing such service unless: (i) There exists a significant public interest in renewing such contract, and (ii) such renewal is approved by a two-thirds vote of the board; (C) the state contracting agency may enter into a contract with a term of one year or less for the provision of such service until such state contracting agency implements such plan; and (D) the procedure for the transfer of funds from the General Fund, as described in section 4-94, may be utilized to allocate necessary resources for the implementation of the provisions of this subdivision.

(4) Notwithstanding the provisions of subdivision (3) of this subsection, the renewal of a privatization contract with a nonprofit organization shall not be denied if the cost of increasing compensation to employees performing the privatized service is the sole cause for such contract not achieving a cost savings to the state <u>contracting agency</u> of ten per cent or more.

- Sec. 13. Subsection (n) of section 4e-16 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1*, 2023):
 - (n) The State Contracting Standards Board, in consultation with the Department of Administrative Services, shall: (1) Recommend and implement standards and procedures for state contracting agencies to develop business cases in connection with privatization contracts, including templates for use by state contracting agencies when submitting business cases to the board, and policies and procedures to guide state contracting agencies to complete such business cases, and (2) develop guidelines and procedures for assisting state employees or quasi-public agency employees whose jobs are affected by a privatization contract.
- Sec. 14. Section 4e-17 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2023*):
- 643 (a) Except as otherwise provided, the provisions of sections 4e-16 to

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4e-47, inclusive, as amended by this act, shall apply to all contracts 644 645

- solicited or entered into by [state contracting agencies] a state
- 646 contracting agency that is a state agency after June 1, 2010, and all
- 647 contracts solicited or entered into by a state contracting agency that is a
- 648 quasi-public agency on or after July 1, 2023.

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- (b) Except as otherwise provided, the provisions of sections 4e-16 to 4e-47, inclusive, as amended by this act, shall apply to every expenditure of public funds by any state contracting agency, irrespective of their source, involving any state or quasi-public agency contracting and procurement processes, including, but not limited to, leasing and property transfers, purchasing or leasing of supplies, materials or equipment, consultant or consultant services, personal service agreements, purchase of service agreements or privatization contracts, as defined in section 4e-1, as amended by this act, and, relating to contracts for the construction, reconstruction, alteration, remodeling, repair or demolition of any public building, bridge or road.
 - (c) Nothing in sections 4e-16 to 4e-47, inclusive, as amended by this act, shall be construed to require the application of procurement statutes or regulations to a procurement that involves the expenditure of federal assistance or federal contract funds if federal law provides procurement procedures applicable to the expenditure of such funds, to the extent such federal procedures are inconsistent with state procurement statutes or regulations.
- 667 Sec. 15. Section 4e-18 of the general statutes is repealed and the 668 following is substituted in lieu thereof (*Effective July 1, 2023*):
 - For the purpose of obtaining supplies, materials, equipment or contractual services, except infrastructure facilities, the Commissioner of Administrative Services shall establish a requisition system to be used by state contracting agencies that are not quasi-public agencies to initiate and authorize the procurement process. Such system shall be approved by the State Contracting Standards Board.
- 675 Sec. 16. Subsection (c) of section 4e-21 of the general statutes is

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- 678 (c) The State Contracting Standards Board, in consultation with the 679 Commissioner of Administrative Services, may waive the requirement 680 of competitive bidding or competitive negotiation in the case of minor, 681 nonrecurring or emergency purchases of ten thousand dollars or less in 682 amount, upon application of the state contracting agency. Any state 683 contracting agency that obtains such a waiver for such an emergency 684 purchase shall post notice of such emergency purchase on the Internet 685 web site of the state contracting agency prior to making such emergency 686 purchase.
- Sec. 17. Section 4e-24 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2023*):
- 689 (a) [Not later than June 1, 2010, the State Contracting Standards 690 Board, in consultation with the Commissioner of Administrative 691 Services and any other appropriate award authority, shall adopt 692 regulations, in accordance with the provisions of chapter 54, permitting] 693 If an emergency [procurements when there exists] procurement is 694 deemed necessary by a state contracting agency due to a threat to public health, welfare or safety, the state contracting agency shall give notice 695 to the board of the need for such emergency procurement. Such 696 697 emergency procurements shall be made with competition, as is 698 practicable under the circumstances. [Said regulations shall require that] 699 The state contracting agency shall (1) include a written determination of 700 the basis for the emergency and for the selection of the particular 701 contractor [be included] in the contract file and [transmitted] transmit 702 such determination to the Governor, the president pro tempore of the 703 Senate, the majority and minority leaders of the Senate, the speaker of 704 the House of Representatives and the majority and minority leaders of 705 the House of Representatives, and (3) post such determination on the 706 Internet web site of the state contracting agency.
- 707 (b) The State Contracting Standards Board may adopt regulations in

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accordance with the provisions of chapter 54 to implement the provisions of this section.

Sec. 18. Subsection (d) of section 4b-51 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1*, 2023):

- (d) (1) Notwithstanding any provision of the general statutes, the Commissioner of Administrative Services may select consultants to be on a list established for the purpose of providing any consultant services. Such list shall be established as provided in sections 4b-56 and 4b-57, as amended by this act. [The] In the case of an emergency procurement due to a threat to public health, welfare or safety, the commissioner may enter into a contract with any consultant on such list without inviting responses from such consultants to perform a range of consultant services or to perform a range of tasks pursuant to a task letter detailing services to be performed under such contract.
- (2) Notwithstanding any provision of the general statutes, the Commissioner of Administrative Services may (A) compile a list of architects, professional engineers and construction administrators for the limited purpose of providing consultant services for a particular program involving various projects for the construction of new buildings or renovations to existing buildings where such buildings are under the operation and control of either the Military Department or the Department of Energy and Environmental Protection, and (B) in the case of an emergency procurement due to a threat to public health, welfare or safety, enter into a contract with any architect, professional engineer or construction administrator on such list for such limited purpose without inviting responses from the persons on such list, except that the Adjutant General may perform the functions described in subparagraphs (A) and (B) of this subdivision for any such building under the operation and control of the Military Department.
- 738 (3) As used in this subsection, "consultant" means "consultant" as 739 defined in section 4b-55, "consultant services" means "consultant

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services" as defined in section 4b-55, and "program" means multiple projects involving the planning, design, construction, repair, improvement or expansion of specified buildings, facilities or site improvements, wherein the work (A) will be of a repetitive nature, (B) will share a common funding source that imposes particular requirements, or (C) would be significantly facilitated if completed by the same design professional or construction administrator.

Sec. 19. Subsection (a) of section 4b-57 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1*, 2023):

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- (a) Whenever consultant services are required by the commissioner in fulfilling the responsibilities under section 4b-1, and in the case of each project, the commissioner shall invite responses from such firms by posting notice on the State Contracting Portal, except that, in the case of an emergency procurement, the commissioner may receive consultant services under a contract entered into pursuant to subsection (d) of section 4b-51, as amended by this act. The commissioner shall prescribe, by regulations adopted in accordance with chapter 54, the advance notice required for, the manner of submission, and conditions and requirements of, such responses.
- Sec. 20. Subsection (g) of section 4b-91 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1*, 2023):
 - (g) Notwithstanding the provisions of this chapter regarding competitive bidding procedures, in the case of an emergency procurement due to a threat to public health, welfare or safety, the commissioner may select and interview at least three responsible and qualified general contractors who are prequalified pursuant to section 4a-100 and submit the three selected contractors to the construction services award panels process described in section 4b-100a and any regulation adopted by the commissioner. The commissioner may negotiate with the successful bidder a contract which is both fair and

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reasonable to the state for a community court project, the downtown Hartford higher education center project, a correctional facility project, a juvenile residential center project, or a student residential facility for the Connecticut State University System that is a priority higher education facility project. The Commissioner of Administrative Services, prior to entering any such contract or performing any work on such project, shall submit such contract to the State Properties Review Board for review and approval or disapproval by the board, pursuant to subsection (i) of this section. Any general contractor awarded a contract pursuant to this subsection shall be subject to the same requirements concerning the furnishing of bonds as a contractor awarded a contract pursuant to subsection (b) of this section.

Sec. 21. Section 4e-27 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2023*):

Not later than June 1, 2010, the State Contracting Standards Board, in consultation with the Attorney General, shall adopt regulations, in accordance with the provisions of chapter 54, specifying the types of contracts that may be used by state contracting agencies. Such regulations shall specify that a cost-reimbursement contract may be used only when a determination is made in writing by the agency procurement officer that such contract is likely to be less costly to the state <u>contracting agency</u> than any other type or that it is impracticable to obtain the supplies, services or construction required except under such a contract.

Sec. 22. Section 4e-31 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2023*):

When, for any reason, collusion or other anticompetitive practices are suspected among any bidders or proposers for a [state] contract of a state contracting agency, a notice of the relevant facts shall be transmitted to the Attorney General by any affected party, including, but not limited to, the state contracting agency, a bidder or a proposer.

Sec. 23. Section 4e-34 of the general statutes is repealed and the

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- (a) After reasonable notice and hearing and consultation with the relevant state contracting agency and the Attorney General, the State Contracting Standards Board, acting through a subcommittee of three members, appointed by the chairperson, which subcommittee shall include not less than one legislative appointee, may disqualify any contractor, bidder or proposer, for a period of not more than five years, from bidding on, applying for or participating as a contractor or subcontractor under, contracts with the state or quasi-public agencies. Such disqualification shall be upon the vote of two-thirds of the members of the subcommittee present and voting for that purpose. Such hearing shall be conducted in accordance with the provisions of chapter 54. The subcommittee shall issue a written recommendation not later than sixty days after the conclusion of such hearing, and shall state the reason for the recommended action and, if the disqualification is recommended, the period of time the contractor, bidder or proposer shall be disqualified. In determining whether to disqualify a contractor, bidder or proposer, the subcommittee shall consider the seriousness of the acts or omissions of the contractor, bidder or proposer and any mitigating factors. Such recommendation shall be submitted to the board for action and sent to the contractor by certified mail, return receipt requested. If disqualification is recommended, the contractor shall have thirty days to submit comments to the board. Upon receipt of the proposed recommendation by the subcommittee, the board shall issue a written decision either adopting, rejecting or modifying the subcommittee's recommendation. Such decision shall be issued not later than thirty days after receipt by the board of the contractor's comments, if any. The board shall send the decision to the contractor by certified mail, return receipt requested. The written decision shall be a final decision for purposes of sections 4-180 and 4-183.
- (b) Causes for such disqualification shall include the following:
 - (1) Conviction of, or entry of a plea of guilty or nolo contendere or admission to, the commission of a criminal offense as an incident to

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obtaining or attempting to obtain a public or private contract or subcontract, or in the performance of such contract or subcontract;

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- (2) Conviction of, or entry of a plea of guilty or nolo contendere or admission to, the violation of any state or federal law for embezzlement, theft, forgery, bribery, falsification or destruction of records, receiving stolen property or any other offense indicating a lack of business integrity or business honesty which affects responsibility as a [state] contractor;
- (3) Conviction of, or entry of a plea of guilty or nolo contendere or admission to, a violation of any state or federal antitrust, collusion or conspiracy law arising out of the submission of bids or proposals on a public or private contract or subcontract;
- (4) Accumulation of two or more suspensions pursuant to section 4e35, as amended by this act, within a twenty-four-month period;
- (5) A wilful, negligent or reckless failure to perform in accordance with the terms of one or more contracts or subcontracts, agreements or transactions with state contracting agencies;
- (6) A history of failure to perform or of unsatisfactory performance on one or more public contracts, agreements or transactions with state contracting agencies;
- 857 (7) A wilful violation of a statutory or regulatory provision or 858 requirement applicable to a contract, agreement or transaction with 859 state contracting agencies;
- 860 (8) A wilful or egregious violation of the ethical standards set forth in 861 sections 1-84, 1-86e and 1-101nn, as determined by the Citizen's Ethics 862 Advisory Board; or
- 863 (9) Any other cause or conduct the board determines to be so serious 864 and compelling as to affect responsibility as a [state] contractor, 865 including, but not limited to:

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- 866 (A) Disqualification by another state for cause;
- (B) The fraudulent or criminal conduct of any officer, director, shareholder, partner, employee or other individual associated with a contractor, bidder or proposer of such contractor, bidder or proposer, provided such conduct occurred in connection with the individual's performance of duties for or on behalf of such contractor, bidder or proposer and such contractor, bidder or proposer knew or had reason to know of such conduct;
- (C) The existence of an informal or formal business relationship with a contractor who has been disqualified from bidding or proposing on [state] contracts of any state contracting agency.
 - (c) Upon written request by the affected [state] contractor, bidder or proposer, the State Contracting Standards Board may reduce the period or extent of disqualification for a contractor, bidder or proposer if documentation supporting any of the following reasons for modification is provided to the board by the contractor, bidder or proposer:
- 883 (1) Newly discovered material evidence;

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- 884 (2) Reversal of the conviction upon which the disqualification was 885 based;
- 886 (3) Bona fide change in ownership or management; or
- 887 (4) Elimination of other causes for which the disqualification was imposed.
- Sec. 24. Section 4e-35 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2023*):
- (a) For purposes of this section and sections 4e-37 and 4e-38, as
 amended by this act, "contracting agency of the state" does not include
 a quasi-public agency. After reasonable notice and a hearing, conducted
 in accordance with the provisions of chapter 54, the department head of

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any [state] contracting agency of the state may suspend any contractor, bidder or proposer for a period of not more than six months from bidding on, applying for or performing work as a contractor or subcontractor under, contracts with the state. The department head shall issue a written decision not later than ninety days after the conclusion of such hearing and state in the decision the reasons for the action taken and, if the contractor, bidder or proposer is being suspended, the period of such suspension. In determining whether to suspend a contractor, bidder or proposer, the department head shall consider the seriousness of the acts or omissions of the contractor, bidder or proposer and any mitigating factors. The department head shall send such decision to the contractor and the State Contracting Standards Board by certified mail, return receipt requested. Such decision shall be a final decision for purposes of sections 4-180 and 4-183.

- (b) Causes for such suspension shall include the following:
- 911 (1) Failure without good cause to perform in accordance with 912 specifications or within the time limits provided in the contract;
 - (2) A record of failure to perform or of unsatisfactory performance in accordance with the terms of one or more contracts, provided failure to perform or unsatisfactory performance caused by acts beyond the control of the contractor shall not be considered to be a basis for suspension;
 - (3) Any cause the complainant [state] contracting agency of the state determines to be so serious and compelling as to affect the responsibility of a state contractor, including suspension by another [state] contracting agency of the state for cause; or
- 922 (4) A violation of the ethical standards set forth in section 1-84, 1-86e 923 or 1-101nn, as determined by the Citizen's Ethics Advisory Board.
 - (c) The State Contracting Standards Board may grant an exception permitting a suspended contractor to participate in a particular contract

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or subcontract upon a written determination by the board that there is good cause for such exception and that such exception is in the best interest of the state.

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- 929 (d) The department head of each [state] contracting agency of the 930 state shall conduct reviews of contractors and shall file reports 931 pertaining to any of the reasons set forth in this section that may be the 932 basis for disqualification.
- 933 Sec. 25. Subsections (g) to (i), inclusive, of section 4e-37 of the general 934 statutes are repealed and the following is substituted in lieu thereof 935 (*Effective July 1, 2023*):
 - (g) In the event that the appeals review subcommittee or the board determines that a procedural violation occurred, or that allegations of an unauthorized or unwarranted, noncompetitive selection process have been substantiated, the board shall direct the [state] contracting agency of the state to take corrective action not later than thirty days after the date of the subcommittee's or board's decision, as applicable.
- (h) In the event such appeal is found to be frivolous by the appeals review subcommittee or the full board, such frivolous appeal may serve as a basis for disqualification pursuant to section 4e-34, as amended by this act.
- 946 (i) Any three members of the board may request a full board review 947 of any contract deliberation or award process of a [state] contracting 948 agency of the state.
- 949 Sec. 26. Section 4e-38 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2023*):
 - The State Contracting Standards Board shall issue a decision in writing or take other appropriate action on each appeal submitted pursuant to section 4e-37, as amended by this act. A copy of any decision shall be provided to all parties, the department head of the [state] contracting agency of the state and the Chief Procurement Officer.

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Sec. 27. Subdivision (2) of section 4e-40 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1*, 2023):

- (2) If the person awarded the contract acted in bad faith:
- 960 (A) The contract may be declared null and void; or

- (B) The contract may be ratified and affirmed if such action is in the best interests of the state, as determined by the State Contracting Standards Board, in writing, without prejudice to the [state's] state contracting agency's right to such damages as may be appropriate.
- Sec. 28. Section 4e-45 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2023*):
 - With respect to infrastructure facilities, not later than June 1, 2010, the State Contracting Standards Board, in consultation with the state contracting agencies and the Attorney General, shall adopt regulations, in accordance with the provisions of chapter 54, requiring the inclusion in [state] contracts with any state contracting agency of clauses providing for adjustments in prices, time of performance, remedies, termination or other contract provisions necessary to protect the interests of the state.
- 975 Sec. 29. Section 4e-46 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2023*):
 - Not later than June 1, 2010, the State Contracting Standards Board shall adopt regulations, in accordance with the provisions of chapter 54, concerning the procedure and circumstances under which a state contracting agency may allow contract modification, change order, or contract price adjustment under a construction contract with [the state] a state contracting agency in excess of fifty thousand dollars. Such regulations shall require that every contract modification, change order or contract price adjustment under a construction contract with [the state] a state contracting agency in excess of fifty thousand dollars shall

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be subject to prior written certification by the fiscal officer of the state contracting agency or other agency responsible for funding the project or the contract, or other official responsible for monitoring and reporting upon the status of the costs of the total project budget or contract budget, as to the effect of the contract modification, change order, or adjustment in contract price on the total project budget or the total contract budget. Such regulations shall further provide that in the event the certification of the fiscal officer or other responsible official discloses a resulting increase in the total project budget or the total contract budget, the agency procurement officer shall not execute or make such contract modification, change order, or adjustment in contract price unless sufficient funds are available or the scope of the project or contract is adjusted so as to permit the degree of completion that is feasible within the total project budget or total contract budget as it existed prior to the contract modification, change order, or adjustment in contract price under consideration provided, with respect to the validity, as to the contractor, of any executed contract modification, change order, or adjustment in contract price which the contractor has reasonably relied upon, it shall be presumed that there has been compliance with the provisions of this section.

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Sec. 30. Subsection (a) of section 4e-48 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1*, 2023):

(a) For the purposes of this section, "nonresident bidder" means a business that is not a resident of the state that submits a bid in response to an invitation to bid by a state contracting agency, "resident bidder" means a business that submits a bid in response to an invitation to bid by a state contracting agency and that has paid unemployment taxes or income taxes in this state during the twelve calendar months immediately preceding submission of such bid, has a business address in the state and has affirmatively claimed such status in the bid submission, and "contract" [means "contract" as defined in section 4e-1 and "state contracting agency" means] and "state contracting agency" have the same meanings, as [defined] provided in section 4e-1, as

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1020 amended by this act.

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Sec. 31. Section 4e-72 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2023*):

As used in this section, "contract", "state contracting agency", "data" and "contractor" have the same meanings as provided in section 4e-1, as amended by this act. Any contract between a state contracting agency and a contractor that is entered into, renewed or amended on or after October 1, 2021, or, in the case of a state contracting agency that is a quasi-public agency, entered into, renewed or amended on or after July 1, 2023, shall contain a provision authorizing the state contracting agency to access any data concerning such contract that is in the possession or control of the contractor upon demand in a format prescribed by the state contracting agency at no additional cost to such agency.

- Sec. 32. Section 10a-255 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2023*):
 - (a) To accomplish the purposes of sections 10a-250 to 10a-263, inclusive, the corporation may enter into joint ventures or shared service agreements to procure hospital facilities and to contract for services necessary or useful in connection with the procurement of hospital facilities. The corporation shall establish and adopt specific policies, rules and procedures on purchasing and contracting. Such policies, rules and procedures shall be approved by a two-thirds vote of its full board of directors. The corporation shall conduct its contracting and purchasing operations in accordance with such policies, rules and procedures. Notwithstanding any other provision of law to the contrary, the corporation may enter into joint ventures or shared service agreements and may procure hospital facilities and contract for any services necessary or useful in connection with such procurement either (1) pursuant to a process of open or competitive bidding, provided that (A) the corporation may determine the format, contents and scope of any joint venture or shared service agreement or any procurement of

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hospital facilities, and services in connection with such procurement, the conditions under which bidding shall take place and the schedule and stipulations for contract award, and (B) the corporation may select the contractor deemed to have submitted the most favorable bid, price and other factors considered, when, in the judgment of the corporation, such award is in the best interests of the hospital, or (2) if the corporation, in its discretion, determines that, due to the nature of the joint venture or shared service agreement or hospital facilities to be contracted for or procured, open or public bidding is either impracticable or not in the best interests of the hospital, through negotiation with such person or persons as the corporation may determine. The terms and conditions of joint ventures or shared service agreements or contracts for hospital facilities shall be determined by the corporation, as shall the fees or other compensation to be paid to such persons under such joint venture, shared service agreement or contract, provided any contract for construction by the corporation or a subsidiary of a hospital facility shall be subject to the provisions of section 31-53 and any joint venture agreement or shared service agreement of the corporation shall contain a neutrality clause signed by all parties to such joint venture agreement or shared service agreement prohibiting employer interference by such parties in union organizing and education campaigns, prohibiting discrimination in hiring based on past union activity and prohibiting harassment of employees engaged in labor organizing, all in compliance with section 31-104 and section 31-105. The joint venture, shared service agreement or contracts entered into by the corporation shall not be subject to the approval of any state department, office or agency other than as provided in this section. Copies of all contracts of the corporation shall be maintained by the corporation at its offices as public records, subject to the exemption provided in subsection (i) of section 10a-253. Nothing in this subsection shall be deemed to restrict the discretion of the corporation to utilize its own staff and workforce for the performance of any of its assigned responsibilities and functions whenever, in the discretion of the corporation, it becomes necessary, convenient or desirable to do so.

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(b) Subject to the restrictions of subdivision (15) of section 10a-254 the corporation may contract with the hospital to provide services for the hospital through joint ventures or shared service agreements or to provide hospital facilities for the hospital, to provide insurance for the hospital as provided in section 10a-256 and to contract for claims management services, or to otherwise make hospital facilities or services provided by joint ventures or shared service agreements available for the hospital. For the hospital to enter into any contract for such services or hospital facilities or insurance or claims management services with the corporation, to pay any reasonable fees and charges established by the corporation for such services or hospital facilities or to pledge payment from any moneys made available by the state to the hospital including, but not limited to, resources of the hospital fund established and administered pursuant to sections 10a-127 and 10a-128, other funds of the state and proceeds of financings by the state for the payment of such fees and charges, it shall have the authorization of the Board of Trustees of The University of Connecticut. Such authorization shall be given by adoption of a resolution at a regularly or specially noticed meeting of said board of trustees. Any fees and charges so established shall be deemed to be direct expenses of the hospital for which the resources of the hospital fund may be used pursuant to section 10a-127 and the State Treasurer is authorized to make payments of such fees and charges upon warrants issued by the State Comptroller, upon the order of authorized officers of The University of Connecticut, pursuant to such a resolution. The provisions of section 4a-57 and any provision of law relating to contract approval other than sections 10a-250 to 10a-263, inclusive, shall not apply to such contracts between the hospital and the corporation. Any such contract or contracts shall be upon such terms and conditions as the corporation and the hospital shall determine to be reasonable including, but not limited to, the reimbursement of all costs of planning, financing, acquisition, construction, operation and maintenance, and any claims arising therefrom. All payments of fees and charges required under any contract or agreement entered into pursuant to the provisions of this section are considered expenditures for public purposes by the state. Any contract between the hospital and

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1121 the corporation that provides for the procurement by the corporation of 1122 hospital facilities or services as authorized by sections 10a-250 to 10a-1123 263, inclusive, shall provide that the hospital shall be required to pay, 1124 through service, lease, rental or installment sale payments for such 1125 hospital facilities or services, all project costs of such hospital facilities 1126 or services at such times and in such amounts as determined by the 1127 corporation and the hospital. Any such contract between the hospital 1128 and the corporation may contain provisions as to: (1) Pledging or 1129 assigning any part of moneys and revenues, including reimbursement 1130 allowances, derived by the hospital or the corporation, to secure 1131 payments required by such contract; (2) setting aside reserves and 1132 creating special funds and the disposition thereof; (3) defining the acts 1133 or omissions to act which shall constitute a default in the obligations and 1134 duties of the hospital or the corporation and providing for the rights and 1135 remedies of the hospital and the corporation in the event of such default; 1136 (4) any other matters which may be deemed necessary or desirable by 1137 the corporation to properly carry out its corporate purposes.

(c) Any joint venture, shared service agreement or contract entered into by the corporation, or any of its subsidiaries under this section, shall comply with the applicable provisions of section 4e-16, as amended by this act, and shall be subject to the jurisdiction of the State Contracting Standards Board to the same extent as a constituent unit of higher education.

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- Sec. 33. Subdivision (15) of subsection (a) of section 15-31b of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2023*):
 - (15) Invest in, acquire, lease, purchase, own, manage, hold and dispose of real property and lease, convey or deal in or enter into agreements with respect to such property on any terms necessary or incidental to carrying out the purposes of sections 15-31a to 15-31i, inclusive, provided such transactions shall not be subject to approval, review or regulation by any state agency pursuant to title 4b or any other provision of the general statutes, except (A) the authority shall not

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- 1154 convey fee simple ownership in any property associated with the ports
- or harbors under its jurisdiction and control without the approval of the
- 1156 State Properties Review Board and the Attorney General, and (B) as
- provided in [subsection (c) of this section] chapter 62; and
- Sec. 34. Subsections (b) and (c) of section 15-31b of the general statutes
- are repealed and the following is substituted in lieu thereof (*Effective July*
- 1160 1, 2023):
- 1161 (b) The authority shall continue as long as it has bonds or other
- obligations outstanding and until its existence is terminated by law,
- provided no such termination shall affect any outstanding contractual
- obligation of the authority and the state shall succeed to the obligations
- of the authority under any contract. Upon the termination of the
- existence of the authority, all its rights and properties shall pass to and
- be vested in the state of Connecticut.
- [(c) On and after June 23, 2021, until July 1, 2026, the authority shall
- be a state contracting agency for the purposes of chapter 62, except for
- the provisions of section 4e-16, and shall be subject to the authority of
- the State Contracting Standards Board established under section 4e-2.]
- 1172 Sec. 35. Subsection (c) of section 10-357b of the general statutes is
- repealed and the following is substituted in lieu thereof (*Effective July 1*,
- 1174 2023):
- 1175 (c) The State Education Resource Center shall be subject to (1) rules,
- 1176 regulations and restrictions on purchasing, procurement, personal
- service agreements and the disposition of assets generally applicable to
- 1178 Connecticut state agencies, including those contained in titles 4, 4a and
- 4b and [section 4e-19] chapter 62, and (2) audit by the Auditors of Public
- 1180 Accounts under chapter 12 and section 2-90.
- 1181 Sec. 36. Section 10a-196 of the general statutes is repealed and the
- following is substituted in lieu thereof (*Effective July 1, 2023*):
- Sections 10a-176 to 10a-195, inclusive, shall be deemed to provide a

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complete, additional and alternative method for the doing of the things authorized thereby, and shall be regarded as supplemental and additional to powers conferred by other laws; provided the issuance of bonds and refunding bonds under the provisions of this chapter need not comply with the requirements of any other law applicable to the issuance of bonds including, particularly, title 42a; and provided in the construction and acquisition of a project pursuant hereto the authority need not comply with the requirements of chapter 50. Except as otherwise expressly provided in this chapter and the provisions of chapter 62 concerning state contracting agencies, none of the powers granted to the authority under the provisions of this chapter shall be subject to the supervision or regulation or require the approval or consent of any municipality or political subdivision or any commission, board, body, bureau, official or agency thereof or of the state.

Sec. 37. Subsection (s) of section 10a-204b of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1*, 2023):

(s) The provisions of this section shall be deemed to provide a complete, additional and alternative method for the actions and the things authorized thereby and shall be regarded as supplemental and additional to powers granted by other laws; the issuance of bonds, notes or other obligations under the provisions of this section need not comply with the requirements of any law applicable to the issuance of bonds, notes or other obligations. This section, being necessary for the welfare of the state and its inhabitants, shall be liberally construed to affect its purpose. None of the powers granted to the corporation or to any subsidiary created pursuant to subdivision (5) of section 10a-204 under the provisions of this section shall be subject to the supervision or regulation or require the approval or consent of any municipality or political subdivision or any department, division, commission, board, body, bureau, official or agency thereof or of the state, and the exercise thereof shall not cause the corporation or any such subsidiary to be construed to be an agency within the scope of chapter 54 or a department, institution or agency of the state, except that the

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corporation or any such subsidiary shall comply with the provisions of chapter 62 concerning state contracting agencies.

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Sec. 38. Section 10a-243 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2023*):

The provisions of this chapter shall be deemed to provide a complete, additional and alternative method for the actions of the things authorized thereby and shall be regarded as supplemental and additional to powers granted by other laws; the issuance of revenue bonds or notes and revenue refunding bonds or notes under the provisions of this chapter need not comply with the requirements of any other law applicable to the issuance of bonds or notes. This chapter, being necessary for the welfare of the state and its inhabitants, shall be liberally construed to effect its purpose. Except as otherwise expressly provided in this chapter or the provisions of chapter 62 concerning state contracting agencies, none of the powers granted to the authority under the provisions of this chapter shall be subject to the supervision or regulation or require the approval or consent of any municipality or political subdivision or any department, division, commission, board, body, bureau, official or agency thereof or of the state. The authority shall not be construed to be an agency within the scope of chapter 54 or a department, institution or agency of the state.

Sec. 39. Subdivision (16) of subsection (b) of section 12-806 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2023*):

(16) To invest in, acquire, lease, purchase, own, manage, hold and dispose of real property and lease, convey or deal in or enter into agreements with respect to such property on any terms necessary or incidental to carrying out the purposes of sections 12-563a, 12-800 to 12-818, inclusive, and sections 12-853 and 12-854, provided such transactions shall not be subject to approval, review or regulation pursuant to title 4b or any other statute by any state agency, except that real property transactions shall be subject to review by the State

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Properties Review Board <u>and contracts shall be subject to the provisions</u> of chapter 62 concerning state contracting agencies;

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Sec. 40. Section 12-815 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2023*):

(a) The corporation shall establish and adopt specific policies, rules and procedures on purchasing and contracting. Such policies, rules and procedures or amendments thereto shall be approved by a two-thirds vote of the entire board. Notwithstanding any other provision of law to the contrary, the corporation may enter into management, consulting and other agreements for the provision of goods, services and professional advisors necessary or useful in connection with the operation and management of the lottery (1) pursuant to a process of open or competitive bidding, provided (A) the corporation shall first determine the format, content and scope of any agreement for any procurement of goods or services, the conditions under which bidding will take place and the schedule and stipulations for contract award, and (B) the corporation may select the contractor deemed to have submitted the most favorable bid, considering price and other factors, when, in the judgment of the corporation, such award is in the best interests of the corporation, or (2) if the corporation, in its discretion, determines that, due to the nature of the agreement to be contracted for or procured, open or public bidding is either impracticable or not in the best interests of the corporation, by negotiation with such prospective providers as the corporation may determine. The terms and conditions of agreements and the fees or other compensation to be paid to such persons shall be determined by the corporation. The agreements entered into by the corporation in accordance with the provisions of this section shall not be subject to the approval of any state department, office or agency, except as provided in the provisions of chapter 62 concerning state contracting agencies or regulations adopted by the Department of Consumer Protection. Nothing in this section shall be deemed to restrict the discretion of the corporation to utilize its own staff and workforce for the performance of any of its assigned responsibilities and functions whenever, in the discretion of the corporation, it becomes necessary,

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convenient or desirable to do so. Copies of all agreements of the corporation shall be maintained by the corporation at its offices as public records, subject to said exemption.

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(b) [The] Except as provided in chapter 62, the corporation shall not be subject to rules, regulations or restrictions on purchasing or procurement or the disposition of assets generally applicable to Connecticut state agencies, including those contained in titles 4a and 4b and the corresponding rules and regulations. The board shall adopt rules and procedures on purchasing, procurement and the disposition of assets applicable to the corporation. The adoption of such rules or procedures shall not be subject to chapter 54. Any such rules or procedures shall be a public record, as defined in section 1-200.

Sec. 41. Section 22a-268 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2023*):

The authority shall utilize private industry, by contract, to carry out the business, design, operating, management, marketing, planning and research and development functions of the authority, unless the authority determines that it is in the public interest to adopt another course of action. The authority is hereby empowered to enter into longterm contracts with private persons for the performance of any such functions of the authority which, in the opinion of the authority, can desirably and conveniently be carried out by a private person under contract provided any such contract shall contain such terms and conditions as will enable the authority to retain overall supervision and control of the business, design, operating, management, transportation, marketing, planning and research and development functions to be carried out or to be performed by such private persons pursuant to such contract. Such contracts shall be entered into either on a competitive negotiation or competitive bidding basis, and the authority in its discretion may select the type of contract it deems most prudent to utilize, pursuant to the contracting procedures adopted under section 22a-268a and considering the scope of work, the management complexities associated therewith, the extent of current and future

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technological development requirements and the best interests of the state. Whenever a long-term contract is entered into on other than a competitive bidding basis, the criteria and procedures therefor shall conform to applicable provisions of subdivision (16) of subsection (a) and subsections (b) and (c) of section 22a-266, provided however, that any contract for a period of over five years in duration, or any contract for which the annual consideration is greater than fifty thousand dollars shall be approved by a two-thirds vote of the authority's full board of directors. The terms and conditions of such contracts shall be determined by the authority, as shall the fees or other similar compensation to be paid to such persons for such contracts. The contracts entered into by the authority shall not be subject to the approval of any other state department, office or agency, except as provided in the provisions concerning state contracting agencies in <u>chapter 62</u>. However, copies of all contracts of the authority shall be maintained by the authority as public records, subject to the proprietary rights of any party to the contract. Nothing of the aforesaid shall be deemed to restrict the discretion of the authority to utilize its own staff and work force for the performance of any of its assigned responsibilities and functions whenever, in the discretion of the authority, it becomes necessary, convenient or desirable to do so. Any litigation with respect to any terms, conditions or provisions of any contract of the authority, or the performance or nonperformance of same by either party, shall be tried before a judge of the Superior Court of Connecticut.

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Sec. 42. Subdivision (14) of section 31-49h of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1*, 2023):

(14) Make and enter into any contract or agreement necessary or incidental to the performance of its duties and execution of its powers. [The] Except as provided in chapter 62, the contracts and agreements entered into by the authority shall not be subject to the approval of any other state department, office or agency, provided copies of all such contracts shall be maintained by the authority as public records, subject

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to the proprietary rights of any party to such contracts. No contract shall contain any provision in which any contractor derives any direct or indirect economic benefit from denying or otherwise influencing the outcome of any claim for benefits. The standard criteria for the evaluation of proposals relating to claims processing, web site development, database development, marketing and advertising, in the event the authority seeks the services of an outside contractor for such tasks, and for the evaluation of proposals relating to all other contracts in amounts equal to or exceeding two hundred fifty thousand dollars shall include, but need not be limited to: (A) Transparency, (B) cost, (C) efficiency of operations, (D) quality of work related to the contracts issued, (E) user experience, (F) accountability, and (G) a cost-benefit analysis documenting the direct and indirect costs of such contracts, including qualitative and quantitative benefits that will result from the implementation of such contracts. The establishment of additional standard criteria shall be approved by a two-thirds vote of the board after such criteria have been posted on a public Internet web site maintained by the authority for notice and comment for at least one week prior to such vote.

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Sec. 43. Subdivision (13) of section 38a-1083 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October* 1, 2023):

(13) Make and enter into any contract or agreement necessary or incidental to the performance of its duties and execution of its powers, including, but not limited to, an agreement with the Office of Health Strategy to use funds collected under this section for the operation of the all-payer claims database established under section 19a-755a and to receive data from such database. The contracts entered into by the exchange shall not be subject to the approval of any other state department, office or agency, provided copies of all contracts of the exchange shall be maintained by the exchange as public records, subject to the proprietary rights of any party to the contract, except (A) as provided in chapter 62, and (B) any agreement with the Office of Health Strategy shall be subject to approval by said office and the Office of

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Policy and Management and no portion of such agreement shall be considered proprietary;

This act shall take effect as follows and shall amend the following			
sections:			
Section 1	from passage	New section	
Sec. 2	July 1, 2023	4e-1	
Sec. 3	July 1, 2023	4e-2(g) and (h)	
Sec. 4	July 1, 2023	4e-3(a)(2)	
Sec. 5	July 1, 2023	4e-4	
Sec. 6	July 1, 2023	4e-5(a) to (c)	
Sec. 7	July 1, 2023	4e-7(a)	
Sec. 8	July 1, 2023	4e-8	
Sec. 9	July 1, 2023	4e-10(a)	
Sec. 10	July 1, 2023	4e-14	
Sec. 11	July 1, 2023	4e-16(c) and (d)	
Sec. 12	July 1, 2023	4e-16(1)(2) to (4)	
Sec. 13	July 1, 2023	4e-16(n)	
Sec. 14	July 1, 2023	4e-17	
Sec. 15	July 1, 2023	4e-18	
Sec. 16	July 1, 2023	4e-21(c)	
Sec. 17	July 1, 2023	4e-24	
Sec. 18	July 1, 2023	4b-51(d)	
Sec. 19	July 1, 2023	4b-57(a)	
Sec. 20	July 1, 2023	4b-91(g)	
Sec. 21	July 1, 2023	4e-27	
Sec. 22	July 1, 2023	4e-31	
Sec. 23	July 1, 2023	4e-34	
Sec. 24	July 1, 2023	4e-35	
Sec. 25	July 1, 2023	4e-37(g) to (i)	
Sec. 26	July 1, 2023	4e-38	
Sec. 27	July 1, 2023	4e-40(2)	
Sec. 28	July 1, 2023	4e-45	
Sec. 29	July 1, 2023	4e-46	
Sec. 30	July 1, 2023	4e-48(a)	
Sec. 31	July 1, 2023	4e-72	
Sec. 32	July 1, 2023	10a-255	
Sec. 33	July 1, 2023	15-31b(a)(15)	
Sec. 34	July 1, 2023	15-31b(b) and (c)	
Sec. 35	July 1, 2023	10-357b(c)	

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Sec. 36	July 1, 2023	10a-196
Sec. 37	July 1, 2023	10a-204b(s)
Sec. 38	July 1, 2023	10a-243
Sec. 39	July 1, 2023	12-806(b)(16)
Sec. 40	July 1, 2023	12-815
Sec. 41	July 1, 2023	22a-268
Sec. 42	July 1, 2023	31-49h(14)
Sec. 43	October 1, 2023	38a-1083(13)

Statement of Purpose:

To make revisions to provisions concerning the State Contracting Standards Board, including by giving the board authority over quasi-public agency procurement, requiring the hiring of full-time staff for the board, prohibiting the Governor from reducing allotments to the board and limiting the selection of contractors from a list without a competitive process to emergency procurements.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]

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